1	Original Article
2	A Computational Approach for Identification of Assamese Folk Musical
3	Instruments
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8 9	Abstract Classification of musical instruments using the computational technique is a very
10	challenging task. The development of signal-processing and data-mining techniques has
11	made it feasible to analyse the many musical signal characteristics, which is essential for
12	resolving the classification issues in music. In this work, 12 popular Assamese folk
13	musical instruments are selected for identification. Twelve musicians play the
14	instruments and audio samples are recorded, different instantaneous features are extracted
15	and effort has been made to identify those instruments using three popular classification
16	techniques - Decision Tree Classifier (DTC), Support Vector Machine (SVM) and Linear
17	Discriminant Analysis (LDA). A performance-based comparison is made among the three
18	classifiers. The proposed sets of features enable the models DTC, SVM and LDA to
19	achieve average accuracy ratings of 86.9%, 90% and 92.2% respectively. Regarding the
20	performance of the three fitted models in identifying instrumental sound, this study will
21	offer a valid comparison.
22	Keywords: Musical Instrument, Machine learning, Decision Tree Classifier, Support
23	Vector Machine, Linear Discriminant Analysis
24	

25 **1. Introduction:**

26 Indian culture is famous due to its diversified cultures and traditions. Each part of the country has its own unique culture and tradition, and each culture is conspicuously 27 visible in its different art forms. Assam situated in the northeastern part of India has rich 28 29 cultural resources, including different kinds of traditional music as the people of the state belong to different tribes and communities. Assamese people practise a range of musical 30 genres, which offers a beautiful means of expressing the varied communities and their 31 32 traditions. A number of musical instruments are used in the performance of different kinds of folks prevailing in Assam. Krishnaswami (1971) classified the Indian musical 33 34 instruments under the heads, namely TATA (stringed instruments), SUSHIRA (wind 35 instruments), AVANADH (percussion instruments like drums covered with skins) and GHANA (ideophones, instruments which are struck against each other like cymbals etc.). 36 37 All four kinds of musical instruments are used in the performance of Assamese folk. The raw materials used to make these instruments are bamboo, leather, soil, buffalo horn, 38 string, wood, bottle gourd, etc. 39

This research aims to develop three models for identifying Assamese folk musical instruments using Decision Tree Classifier, Support Vector Machine (SVM), and Linear Discriminant Analysis (LDA), and to analyze the performance. Twelve of the most well-known traditional Assamese musical instruments were chosen for classification and are listed below with their categories.

Dotara, Ananda lohori, Ektara and *Dogor* are widely played instruments in one
of the important type of Assamese folk called *Lokageet. Bihu* is the prime festival of
Assam. Popular folk instruments played along with *Bihu* songs are *Dhol, Pepa, Xutuli, Gogona* and *Bahi. Dhol* is a two-faced drum played with a single bamboo stick. The main
part of *Pepa* is specially made from bamboo but the buffalo horn attached to it, makes the
sound very unique. *Xutuli* is a wind instrument made from clay or the lower end of a

51 bamboo tree. Gogona is a very unique instrument made of bamboo similar to a jaw harp, 52 which has a vibrating reed. The wide side of *Gogona* is gripped with the lips and the free end is struck repeatedly with the fingers to produce sound. Bahi is a kind of flute which 53 54 is made from bamboo. Nagara (or Negara) is a combination of two single-faced drums played by two bamboo sticks. It is the major instrument in the performance of spiritual 55 songs called Negara Naam. Khol (or Khol) is another popular two-faced drum played 56 with free hands. This instrument is played with the religious, spiritual songs Mohapurusia 57 Sangeet. 58

59 2. Related Work:

Music data analysis and retrieval have become a very popular research field in 60 recent years. Previously the clustering and classification of music were performed using 61 62 manually specified features of samples. The rapid progress of signal-processing and datamining techniques has made it possible to study the different features of musical signals, 63 which plays an important role in solving the classification and identification problem in 64 music. K-Mean clustering is one of the widely used techniques to solve clustering and 65 classification problems in music. For the classification of Indonesian traditional music, 66 Jondya and Iswanto (2017) select the essential musical features using principal 67 component analysis and find four distinct clusters of the selected songs using the K-Mean 68 clustering algorithm. Similar work is found in clustering classical, rap, metal and Indian 69 music (Sen, 2014). 70

Deng, Simmermacher and Cranefield (2008) study the features of musical instruments and classify them using K- nearest neighbour classification algorithm. In this work, PCA and Isomap were used to explore the sparseness of the feature space and examine the residuals of the chosen dimensionality to estimate how many features shouldbe included in a subset.

Marques and Moreno (1999) classified eight musical instruments using two classification algorithms, Gaussian Mixture Models and Support Vector Machines. Here, the SVM gave the best results with an overall error rate of 30% when classifying segments of 0.2 seconds of sound. This work is one of the first applications of SVM to music classification.

Another classification of musical instrument timbres is done by Agostini, Longari 81 82 and Poolastri (2003) using 117 spectral features. The performance was assessed using SVM, k-NN, canonical Discriminant analysis, and quadratic discriminant analysis. SVM 83 and quadratic discriminant analysis performed the best. Tzanetakis and Cook (2002) used 84 85 the Gaussian mixture model (GMM) and K-mean algorithm for audio signal musical genre classification. Hidden Markov Model (HMM) is found to be one of the successful 86 statistical techniques for solving classification and identification problems. HMM-based 87 88 classifier is used by Kim, Moreau and Sikora (2004) for speaker recognition and sound classification. Comparing the MFCC and MPEG-7 audio features Xiong, Radhakrishnan, 89 90 Divakaran and Huang (2003) use HMM, K-NN, GMM, AdaBoost and SVM techniques for sports audio classification. 91

In today's machine learning applications, SVM is found to be one of the best algorithms for solving different types of classification problems. For classification of the bass playing style Abeßer, Lukashevich and Bräuer (2012) use three approaches based on SVM, Classification and Regression Tree (CART) and two pattern similarity measures with the highest accuracy value of 64.8%. Arowolo, Adebiyi, Nnodim, Abdulsalam and Adebiyi (2021) use SVM for analysing RNA-seq dataset from the mosquito Anopheles 98 gambiae to predict Malaria Vector Gene Expression where up to 98 % of accuracy score99 is achieved.

100 Ünal, Bozkurt and Karaosmanoğlu (2014) use symbolic data for the classification 101 of Turkish makam music. Here in the first level, the information provided by the n-gram 102 likelihood of the symbolic sequences is used. Then a more detailed identification is 103 achieved using statistical features related to the content of the piece, such as the tonic 104 note, the average pitch level for local excerpts and the overall pitch progression.

105 **3. Data:**

106 All the raw and solo audio samples for each instrument are collected from primary 107 sources. Twelve expert musicians are contacted and briefed about the study's goals. All 108 of them consented to play the instruments. Different instruments of the same type may 109 produce different sounds due to their size, shape, tuning and build quality. Therefore we collect samples from more than one instrument of the same type. Thus for the collection 110 111 35 instruments are used. For each instrument type, musicians are asked to play 50 different melodies or beats and sounds were recorded for 20 sec. window in .WAV format 112 113 in a sampling rate of 44100 Hz under the same acoustic environment and same condition. 114 One of the serious obstacles in the data collection process was that due to the limited use 115 of some instruments like Gogona, Xutuli in the performance, comparatively small number 116 of samples were obtained. The number of collected samples for each type of instrument 117 is shown in the table-2.

118 **4. Methodology:**

All the analysis is performed using Python programming language including the extraction of the features from audio samples. To generate the spectrograms from each audio sample, we use the Matplotlib library, a numerical extension NumPy, a fundamental package for scientific computing in Python. Extraction of the features fromthe spectrograms is done using librosa, a Python library for music and audio analysis.

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Generation of the Spectrogram:

A spectrogram is a visual representation of a signal's strength over time at various frequencies present in a particular waveform. The horizontal axis represents time while the vertical axis is used to represent the frequency of the signal. A third dimension, colour, is used to describe the amplitude (or energy) of a particular frequency at a particular time. In this study, MEL (having MEL frequency bins on the y-axis) spectrogram is extracted from each of the samples. Spectrograms extracted from one audio signal of each type of instruments are shown in Figure 1.

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Features Extracted from the spectrogram:

A brief introduction to the time domain and frequency domain features that havebeen extracted from each of the spectrograms are given below.

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Time Domain Features:

Zero Crossing Rate (ZCR): The Zero-Crossing Rate (ZCR) of an audio
 frame is the rate of sign-changes of the signal during the frame. The ZCR is
 defined according to the following equation:

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$$Z_i = \frac{1}{2W_L} \sum_{n=1}^{W_L} |sgn[x_i(n)] - sgn[x_i(n-1)]|$$

142 2. Root Mean Square Energy (RMSE): The energy in a signal is determined
143 as:

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$$\sum_{n=1}^{N} |x(n)|^2$$
145Further, the Root Mean Square Value is obtained by:146
$$\int \frac{1}{N} \sum_{n=1}^{N} |x(n)|^2$$
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$$\int \frac{1}{N} \sum_{n=1}^{N} |x(n)|^2$$
148It is calculated for all the frame and finally the average and the standard deviation149is considered for analysis.150Frequency Domain Features:151Interpretation of a short-term power spectrum of a sound is known as mel-153representation of a short-term power spectrum of a sound is known as mel-154frequency cepstrum (MFC). The coefficients that collectively make up an155MFC are called Mel-Frequency Cepstral Coefficients. These are the cepstral166representation of a signal where the frequency bands are distributed according157to mel-scale (Weihs, Jannach, Vatilkin, & Rudolph, 2017).1581. Chroma Features: The representation of the spectral energy of the 12 pitch160classes (C, C#, D, D#, E, F, F#, G, G#, A, A#, B) is termed as Chroma Vector161or Chroma features. Chroma vector coefficients are determined by grouping162formula:163formula:

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The respective mean and standard deviation is calculated by aggregating the Chroma vectors across the frames.

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- 3. Spectral Centroid: The spectral centroid determines the frequency bin with
 the highest amount of spectral energy is concentrated. It is the centre of the
 'gravity' of the spectrum. The value of spectral centroid, *C_i*, of the *i*th audio
 frame is determined by :
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$$C_{i} = \frac{\sum_{k=1}^{wf_{L}} k X_{i}(k)}{\sum_{k=1}^{wf_{L}} x_{i}(k)}$$

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1774. Spectral Band-width: Band-width is the difference between the upper and178lower frequencies in a continuous band of frequencies. The p^{th} order spectral179band-width corresponds to the p^{th} order moment about the spectral centroid180(Tjoa, 2017) and is determined by

$$\left[\sum_{k} (S(k)f(k) - c_i)^p\right]^{1/p}$$

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183 Where S(k) and f(k) are respectively spectral magnitude and frequency of bin184 k.

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5. Spectral Contrast: After dividing each frame into a pre-specified number of
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2hang, Tao & Cai, 2002).

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6. Spectral Roll-off: Spectral roll-off is the value of the frequency below which
a certain amount (85%) of the total energy of the spectrum lies. The user can
set this threshold value of the energy.

194 To have a comparable accuracy score of the fitted models, it is necessary to be 195 the dataset balanced. In order to make the classes balanced the oversampling technique is 196 used for *Gogona*, *Dogor* and *Xutuli*.

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Models used for Identification:

198 The three supervised learning strategies utilised to accomplish the objectives are199 briefly described below.

200 1. Decision Tree Classifier

A decision tree is one of the popular predictive modelling approaches used 201 202 in statistics, machine learning and data mining. It is a tree-structured multistage 203 classification strategy where each internal node represents a test on an attribute. Each 204 branch represents an outcome of the test. Class label or dependent variable is represented 205 by each leaf node (or terminal node). A decision tree can be easily converted into a classification rule. Decision tree learning uses a decision tree as a predictive model which 206 207 maps observations about an item to conclusions about the item's target value (Patel & 208 Prajapati, 2018; Wu-Zhou et al., 2008).

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2. Support Vector Machine (SVM)

In different machine learning applications, support vector machines (SVM) are
one of the robust and accurate classification algorithms (Vapnik, 1995). This algorithm
was developed at AT&T Bell Laboratories by Vladimir Vapnik with colleagues (Boser,
Guyon & Vapnik, 1992; Drucker, Burges, Kaufman, Smola & Vapnik, 1997). SVM has

a sound theoretical foundation and needs only a dozen training examples. SVM
determines the best hyperplane in the input space that differentiates between classes
(Arowolo et al., 2021). Originally this algorithm was developed for binary classification
problems. For multiclass classification, the multiclass problem is reduced to multiple
binary classification problems (Duan & Keerthi, 2005).

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3. Linear Discriminant Analysis (LDA)

Linear Discriminant Analysis (LDA) is a very popular multivariate statistical technique for pattern discrimination or classification application as well as for dimensionality reduction problems as a pre-processing step for machine learning. Originally the term discrimination was introduced by R. A. Fisher in the first modern treatment of separative problems (Johnson & Wichern, 2015). In this technique a linear combination of features that characterizes two or more classes of objects. The resulting linear combination may be used as a linear classifier.

227 Evaluation of the Fitted Models:

In order to evaluate the performance of the three selected models, the data are split into two parts- one for the training of the model and the other for evaluation of the model performance. The following are measures are used for the evaluation of the fitted models. **Accuracy Score**: It is the percentage of correctly classified test samples. It is calculated by the formula (Harikrishnan, 2019)-

233Accuracy score =
$$\frac{TP+TN}{TP+TN+FP+FN}$$
;TP= True Positive, FP= False Positive234TN= True Negative, FN= False Negative235ROC Curve:

An ROC curve (receiver operating characteristic curve) is a two dimensionalgraph showing the performance of a classification model at all classification thresholds.

In this plot True Positive Rate (TPR) is plotted on the Y axis and False Positive Rate(FPR) is plotted on the X axis where-

240
$$TPR = \frac{TP}{TP + FN}$$
, $FPR = \frac{FP}{FP + TN}$

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It is a useful technique for visualizing, organizing and selecting classification model based on their performance. The AUC (Area Under the Curve) score indicates the performance of the model.

245 **F-1 score:**

With the help of the predicted outcomes of the fitted models the precision and recall value is calculated for each instrument where,

248 **Precision** =
$$\frac{TP}{TP+FP}$$
 Recall = $\frac{TP}{TP+FN}$

In machine learning, precision gives the value of the fraction of relevant instances among the retrieved instances and recall gives the value of the fraction of relevant instances that were retrieved. Where both false positive and false negative are equally serious, F-1 score is an effective model evaluation measure, which is the harmonic mean of precision and recall.

254 **5. Results and Discussion:**

In this work 70 % of the total sample have been selected randomly and used for training the models and the remaining 30% of the sample for testing purposes. This process is repeated 100 times so that confidence interval for the estimates can be constructed. Confusion matrix is considered as one of the valid method for inspecting the performance of the fitted models from a qualitative point of view. For a specific randomly chosen test sample, the models' prediction is visualized in three confusion matrices, whichare shown in figure-2.

Evaluation the Model:

The accuracy scores for each fitted models are determined for 100 randomly selected test samples and 95% confidence intervals for the scores are calculated. The average accuracy score along with 95% confidence interval is presented in table-3.

The performance of Linear Discriminant Analysis and SVM is quite good than 266 267 the Decision Tree Classifier in terms of their accuracy scores. In the work by Marques 268 and Moreno (1999), eight musical instruments are classified using SVM and Gaussian Mixture Model, where SVM gives the best results with an overall error rate of 30% when 269 270 classifying segments of 0.2 seconds of sound. In the work by Agostini et al. (2003), SVM 271 with RBF kernel gives the best result for recognition of individual instruments in 272 comparison to the other classifiers- Quadratic Discriminant Analysis (QDA), Canonical 273 Discriminant Analysis (CDA) and k-nearest neighbours. In the same work, the second 274 best score was achieved by QDA, with success rates close to SVM's performances. On the other hand, in case of instrument family recognition and sustain/pizzicato 275 276 classification, QDA overcame all other classifiers with a success rate of 81% (Agostini et al., 2003). In the experiment of Setiadi-Trusthi et al. (2020), three classifiers SVM, KNN, 277 and Naïve Bayes (NB) are used for the classification of music genres on the Spotify music 278 279 dataset. They find that the SVM classifier has the best classification performance with 80% of accuracy, followed by KNN and NB. The model's accuracy, however, may vary 280 depending on the issue being investigated. Since every problem has a unique set of 281 282 features, the amount of information will vary depending on the set of features being taken into account. 283

In our work, the accuracy score of SVM was very close to LDA in some samples. However in most of the cases, LDA performs better than SVM. The class prediction error for three confusion matrices are visualized with help of histograms in figure-3

From Figure 3, it can be observed that most of the misclassification occurs within 287 the same type of instruments that are mentioned in table-1. Dogor is misclassified as 288 Madal and Negara in all three models. Similarly classification error is observed among 289 all the Drums. *Pepa*, which is a very unique wind instrument due to its bold vibrating 290 291 sound, is correctly classified by all three models. Both SVM and LDA classified Gogona with zero false positive and false negative rate. A classification error is happening 292 between the two wind instruments Xutuli and Bahi in all three models. Similarly, 293 294 misclassification is observed among Ananda-lohori, Dotara, and Ektara in both Decision Tree Classifier and SVM while LDA is performing quite good in identification of these 295 296 three String Instruments.

297 For better evaluation of these three fitted models, ROC curve and F-measure are298 also determined for each instruments.

299 **ROC curve of the fitted models:**

300 The ROC curve is constructed for each instrument and their macro and micro301 averaging of three fitted models separately, shown in figure-4.

Both LDA and SVM provide a better AUC score in comparison to Decision Tree Classifier. The average (both Micro and Macro) AUC score for Decision Tree Classifier and SVM are 93% and 99% respectively while for LDA, the micro average AUC is 99% and macro average AUC is 98%.

F-1 Score:

- In our problem both the errors (Type-I and Type-II) are equally sensitive, the F-1
 score is determined for each of the instruments which are shown in table 4.
- Table: 4 shows that both SVM and Linear Discriminant Analysis have better F-1 score than Decision Tree Classifier. For *Khol*, *Ektara*, *Dhol* and *Ananda Lohori*, LDA is performing better than SVM. On the other hand, for Madal and *Dogor*, F-1 score of SVM is better than LDA. Figure 5 shows the average precision-recall curves for each of the models. In this work, LDA provides the maximum average precision for the identification of the instruments.
- Table 5 summarises the various scores that are used to assess the performance of the three models.

317 **6. Conclusion:**

One of the biggest challenges in conducting this study is the collection of the audio samples for each selected folk instrument, which was very time-consuming. Also, some folk instruments are less popular than others. As a result the musicians of some instruments like *Ektara*, *Ananda lohori* are not much available everywhere. It is not possible to gather a very big number of samples for each instrument because every sample is recorded with the assistance of several musical professionals.

Results from all three selected classification techniques show that LDA and SVM performs significantly better than Decision Tree classifier under the same set of features. If we compare the performance of LDA and SVM, LDA performs slightly better than SVM. The primary drawback of this work is that we are addressing only three classifiers, but there are several statistical methods, including Logistic Regression and Random Forest Classifier etc. that may have been successfully used to tackle classification issuesin a wide range of domains.

As far the knowledge of the reasercher is concerned, no computational study has been carried out in Assamese musical instruments. This study is expected to be the first step of the future research of Assamese music. Based on this, the next research is expected to find the optimal set of features for identifying solo musical instruments. This work will certainly provide a basis for studying features of traditional musical instruments for any community over the country.

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String Instrument	Wind Instrument	Drum	Non-drum Percussion Instrument
1. Dotara or Dutura	4. Bahi	7. Dhol	12. Gogona
2. Ananda Lohori	5. Pepa	8. Khol or Khul	
3. Ektara	6. Xutuli	9. Nagara	
		10. Dogor	
		11. Madol	

Table 1: Selected Instruments with their original classes

Table 2: Instrument with their sample sizes.

	Instrument	Number of	Sample Size
		Instrument	
1	Dotara	2	50
2	Ananda Lohori	2	50
3	Ektara	2	50
4	Bahi	7	50
5	Рера	3	50
6	Xutuli	2	30
7	Dhol	3	50
8	Khol	3	50
9	Nagara	3	50
10	Dogor	2	45
11	Madol	3	50
12	Gogona	3	40
	Total	35	565

Table 3: Accuracy Score of the three models

Model	Average	95% Confidence
	Accuracy Score	Accuracy Scores
Decision Tree Classifier	0.869	0.864 - 0.874
Support Vector Machine	0.90	0.896 - 0.905
Linear Discriminant Analysis	0.922	0.919 – 0.926

Instruments	F-1 Score		
	Decision	Support	Linear
	Tree	Vector	Discriminant
	Classifier	Machine	Analysis
Xutuli	0.941	0.970	0.970
Рера	1.000	1.000	1.000
Nagara	0.667	0.846	0.846
Madol	0.867	0.867	0.846
Khol	0.846	0.960	0.963
Gogona	0.938	1.000	1.000
Ektara	0.824	0.889	0.970
Dotara	0.909	0.957	1.000
Dogor	0.762	0.829	0.821
Dhol	0.800	0.857	0.880
Bahi	0.875	0.968	0.968
Ananda Lohori	0.923	0.823	1.000

 Table 4: Instrument wise comparison of F-1 Score for each model

 Table 5: Classification report of three models

Models	Average	AUC	Precision
	Accuracy	(Micro	(micro-
		average)	average)
Decision Tree Classifier	0.869	0.93	0.81
Support Vector Machine	0.90	0.99	0.90
Linear Discriminant	0.922	0.99	0.94
Analysis			



Figure 1: Sample Spectrograms for one audio signal of each type of instrument



LDA Fig-2. Confusion Matrices for three models.





Figure 3: Class prediction Error for each Model



Figure 4: ROC curves for each Models



Figure 5: Average Precision Recall Curves for each model

List of Changes

Serial	Reviewer's Suggestions	Author's Changes
No.		
1.	Reviewer #1 : Please find more references instead of repeated use of "Jondya & Siswanto(2007)".	Instead of repeating the reference of "Jondya & Siswanto(2007)" a new book (Weihs et al., 2017) is added in the references.
2.	Reviewer #1 : Please add the clustering result and interpretation to the abstract and conclusion.	As the number of words in the abstract is limited, the author included only the best result among the fitted models. Now the prediction scores of all the models are also included as suggested by the reviewer.
3.	Reviewer #2: The model efficiency is very high, please give the reasons relating to the other papers.	A quick comparison is made between the results of the fitted models and the other relevant work as suggested by the reviewers. The model accuracy may vary depending upon the problem under study. Because each problem has some specific set of features and accordingly the amount of information will vary depending upon the set of features under consideration.
4.	Reviewer #2: In abstract section, Please add 'What benefit is provided by research?"	The abstract is modified as suggested by both reviewers. The benefit of this piece of work is included in the abstract.
5.	Reviewer #2: Explain or more discussion of the limitations of using three popular classification techniques.	The primary drawback of using only the three models is included in the conclusion section as suggested by the reviewer.
6.		The "Acknowledgements" section is added to the manuscript. Additionally, the authors have rectified a few minor grammatical errors. To maintain the word limit of the manuscript some lines are reconstructed without changing the meaning. The description of the three models is shortened and three references- Abbas, (2021), Fawcett, T. (2006), Sharma et al. (2013) are removed.