

1 **Did symptomatic index cases propagate COVID-19 more effective than the**
2 **asymptomatic ones?: Analysis of Phuket contact tracing data in the first half of 2020**

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6 **Abstract**

7 Unlike SARs and MERS, COVID-19 has its stealth mode. This present study aims to compare
8 secondary attack rate among high-risk contacts exposed to symptomatic index cases to among
9 those exposed to asymptomatic ones. The chi-square statistic and logistic regression analysis
10 are used to compare infection probabilities of HRCs who have been exposed to symptomatic
11 and asymptomatic cases. Results indicate no statistical difference in infection probability
12 between HRCs exposed to asymptomatic cases and HRCs exposed to symptomatic cases. This
13 present study findings also revealed the significant impact of close contact who share the same
14 household. The contact who lives in the same household of the index case has eight times the
15 odds of the non-household of COVID-19 index case during the April 2020's wave and five
16 times the odds during April 2021's wave. We further recommend adding consistent active case
17 finding as a prerequisite step to eliminate both symptomatic and asymptomatic COVID-19 case
18 from the household.

19 **Keywords:** Asymptomatic transmission, COVID-19, High-risk contact (HRC), Household,
20 Disease propagation

21 **Introduction**

22 The multiple waves of the COVID-19 pandemic in many nations empirically highlight its
23 highly contagious capability. Recent studies by Buitrago-Garcia *et al.* (2020) have revealed
24 that 20% of the 6,166 COVID-19 cases showed no symptoms throughout the infectious period.
25 The World Health Organization defines symptomatic cases as infected people who have
26 developed symptoms, while asymptomatic cases refer to people who are infected but never
27 develop any symptoms (The World Health Organization [WHO], 2020a).

28 Following the early stages of the outbreak in China, in January 2020, Thailand was the second
29 nation in the world to report COVID-19 infections. First cases in Phuket were logged in late
30 January 2020, at which time the disease was elsewhere only found in Wuhan (WHO, 2020a).
31 Following the initially documented cases in January 2020, the number of COVID-19 infections
32 in Phuket sharply rose in late March and mid-April 2020. By the end of the first week of May
33 2020, the total number of COVID-19 cases stabilized at 227, which remained the total recorded
34 number of infections until 2021. The infection rate in Phuket rose again at the beginning of
35 April 2021 before the vaccination period; the total number of index cases had reached 329 at
36 the end of April.

37 From the total of 214 index cases during the April 2020 wave, we found that 21% of the index
38 cases were categorized as asymptomatic cases, and 35% index cases did not show any signs of
39 the disease during the April 2021's wave. Although infected people have not developed
40 symptoms, WHO confirmed infected people could transmit the SARS-CoV-2 virus both when
41 they have symptoms and do not have any symptoms (The World Health Organization [WHO],
42 2020b) . Multiple findings also confirmed the transmissibility of COVID-19 from
43 asymptomatic cases (Bai, *et al.*, 2020; Sayampanathan, *et al.*, 2020). A recent study that used
44 systematic review found the global percentage of asymptomatic SARS-CoV-2 infections was

45 40.5 percent among the confirmed diagnosis, and the result suggests that asymptomatic
46 individuals might be an essential driver of transmission in communities (Ma, *et al.*, 2021).
47 Similarly, He *et al.* (2020) and Choi, Kim, Kang, Kim, and Cho (2020) found that SARS-CoV-
48 2 could be transmitted before any respiratory symptoms develop. While Song Song, Yun, Noh,
49 Cheong, and Kim, (2020), Arons *et al.* (2020), and Bai *et al.* (2020) found evidence of
50 transmission from both presymptomatic and asymptomatic cases. These previous studies
51 suggest that asymptomatic carriers cause a sizeable portion of COVID-19 transmissions. It
52 could be hypothesized that asymptomatic cases can cause a relatively high threat to public
53 health, as they tend not to self-isolate but remain circulating ‘in’ the community. However,
54 Byambasuren *et al.* (2020) found asymptomatic individuals 42% less likely to transmit the
55 virus than symptomatic cases. Sayampanathan *et al.* (2020) and Oran and Topol (2020) also
56 found that an index case with asymptomatic COVID-19 is contagious but might be less so than
57 the symptomatic case one. Gao, *et al.* (2020) conclude that SARS-CoV-2 patients with
58 asymptomatic can transmit the virus, but the infectivity of some asymptomatic patient carriers
59 might be weak.

60 A thorough understanding of SARS-CoV-2 transmission mechanisms would help public health
61 policymakers manage disease transmission risks and economic policymakers to restore normal
62 economic circumstances. The review of previous literature encouraged us to compare the
63 differences between infection probabilities in two clusters of high-risk contacts (HRCs), which
64 HRCs mean people who came in contact with the confirmed COVID-19 cases for longer than
65 five minutes without wearing a mask. The first one consists of HRCs who contact
66 asymptomatic cases, and another group refers to HRCs who had been exposed to the
67 symptomatic index case.

68 **Data and Methodology**

69 This paper uses inferential statistic techniques to compare the infection probabilities of HRCs.
70 They were exposed to the asymptomatic index cases with a group of HRCs exposed to the
71 symptomatic individuals. The data used this study was a part of the investigation flow-chart
72 which was undertaken by the Phuket Provincial Public Health office. After the person is
73 confirmed by the Polymerase Chain Reaction (PCR) test as a COVID-19 case, an immediate
74 in-depth telephone interview with the patient is conducted. This is done to obtain an extensive
75 list of people with whom the patient might have been in physical contact during the past two
76 weeks and for periods longer than five minutes without wearing a facial mask. With that
77 information, a team from Phuket's Department of Disease Control classified the identified
78 contacts as either high-risk contact (HRC) or low-risk contact (LRC) and further documented
79 all contacts' demographic information and type of relationship with the index case(s). It should
80 be noted that only a few contacts in Phuket were identified as low-risk contacts (LRCs) so far,
81 and those included convenience store cashiers, food vendors, and people who interacted with
82 index cases for a short period. HRCs' deidentified information is collected in an encrypted
83 spreadsheet, with slight corrections for spelling and date anomalies.

84 This study divided HRCs observations into two periods: the HRCs who contacted the COVID-
85 19 patient in April of the 2020 wave; another is the group of the HRCs exposed to the COVID-
86 19 cases in April of 2021. After that, the data for both periods were conducted by step. Firstly,
87 the Chi-Square statistic which is commonly used for testing relationships between categorical
88 variables (Wilson & Hilferty, 1931) was conducted to verify whether there is a statistically
89 significant difference between the infection variable and the COVID-19 case's symptoms.
90 Then, the infection probability of each HRC was simulated based on the proposed equation 1
91 including the case's symptom variable to explore the question of this paper.

92 Since this study’s dependent variable is dichotomous, the two choices for this study were logit
 93 and probit models. Hahn and Soyer (2005) pointed out that the probit equation is preferable if
 94 the testing model does not contain independent variables with extreme values. As this study
 95 focuses on finding the marginal effect from each risk factor of transmission, both logit and
 96 probit models would yield relatively similar marginal effects (Breen, Karlson, & Holm 2018;
 97 Cornelißen & Sonderhof, 2009). However, as the study’s exogenous variables are dummy and
 98 numerical, the logit model, which gives results interpretable by public health readers who are
 99 policymakers, was selected for the analysis to find the coefficient and odd ratio of each
 100 variable. The following equation was employed as the baseline regression.

$$\begin{aligned} 101 \text{ Detected}_i = & \beta_0 + \beta_1 \text{Indexcase}_{xi} \text{'sSymptom} + \beta_2 \text{Household}_i + \beta_3 \text{GenderDifferential}_i + \beta_4 \text{Indexcase}_{xi} \text{'sAge} + \beta_5 \text{Contact}_{xi} \text{'s} \\ 102 & \text{Age}(0-4) + \beta_6 \text{Contact}_{xi} \text{'sAge}(5-17) + \beta_7 \text{Contact}_{xi} \text{'sAge}(18-29) + \beta_8 \text{Contact}_{xi} \text{'sAge}(30-39) + \beta_9 \text{Contact}_{xi} \text{'sAge}(40- \\ 103 & 49) + \beta_{10} \text{Contact}_{xi} \text{'sAge}(50-64) + \beta_{11} \text{Contact}_{xi} \text{'sAge}(65 \text{ and above}) \end{aligned} \quad (\text{Equation 1})$$

104 The endogenous variable in this model is whether the HRC i was detected with COVID-19 (1
 105 if infected, 0 non-infected)¹. The subscript xi represents case x in which contact i was exposed
 106 to. Variables included in the model were selected based on the analysis of the existing COVID-
 107 19 related literature. The following literature review discusses the COVID-19 transmission
 108 factors included in the statistical analysis.

109 Current evidence suggests that the COVID-19 virus spreads between people directly and
 110 indirectly (through contaminated objects or surfaces), particularly close contact by living
 111 within the same household with infected people. Chen *et al.* (2020) and Cauchemez *et al.*
 112 (2009), as well as Hui, Azhar, Kim, and Memish (2018) found that the COVID-19 and the
 113 respiratory virus spreads easier among people living within the same household. Westchester
 114 in the US had clear evidence of family cluster transmissions, while secondary household
 115 transmissions of the virus occurred among married couples in California and Illinois (Edwards,

¹ If contact’s PCR test results were reported as positive either during, or before completion of the 14 days of quarantine, they would be regarded as 1.

116 2020). The previous literature also found some differences in the number of infections
117 according to gender. A larger portion of COVID-19 reported cases in Switzerland, Spain, and
118 Italy were female, while in Iran, male.

119 Another variable examined in this study is the infected cases' and their HRCs' age. This is
120 because Bi *et al.* (2020) s' finding that individuals' infection risks appear statistically similar
121 across various age groups. However, an investigation by Li *et al.* (2020) and Khan (2020)
122 found that the secondary infection rate in children occurred in lower numbers than in adults.
123 However, the recent report of Centers for Disease Control and Prevention (2022b) shows no
124 difference risk of infection between nine age groups. Thus, the age still be ambiguous variable
125 for transmission risk and need more study.

126 **Results**

127 This study applied the statistical analysis of high-risk contact of COVID-19 index cases in
128 Phuket. The data cover two primary waves of the outbreak in Phuket during April 2020 and
129 April 2021; descriptive information of indexed cases and HRCs are illustrated in Table 1. In
130 April of the year 2020, the 214 cases were recorded between January and April 2020 which
131 account for 93% of all COVID-19 cases in Phuket as of December 2020, 169 patients show
132 some symptoms while another 45 cases were asymptomatic patients, and found 1,088 HRCs
133 from the 214 index cases (Phuket Provincial Public Health Office, 2020) For the year 2021,
134 Phuket Provincial Public Health Office recorded 119 index cases during April 112 of them
135 were verified to be symptomatic index cases and 61 index cases did not show any symptoms
136 and all 119 index cases had close contact with 1,368 HRCs (Phuket Provincial Public Health
137 Office, 2021).

138 [Insert Table 1]

139 172 of 1,088 HRCs were later diagnosed as COVID-19 cases of the 2020 dataset, and 119 of
140 1,386 HRCs were later diagnosed as the COVID-19 case during 2021's wave; this shows the
141 higher infection rate during the initial wave (15.81%) than in the second major wave in April
142 2021 (8.59%).

143 The key variable in the testing equation is whether the index case that HRCs had exposed is
144 asymptomatic or systematic. It would be myopia interpretation to categorize the HRCs exposed
145 to both asymptomatic and symptomatic index cases. This study excluded HRCs who had
146 exposure to more than one index case from the study (detailed information is described in Table
147 2), in order to reduce the repeated measure problems

148 **[Insert Table2]**

149 The number of selected HRCs in April 2020 is 614; 584 got exposed to the 102 index
150 symptomatic cases, while 30 HRCs got exposed to the five index cases categorized as
151 asymptomatic. While 668 HRCs from in April of 2021, 493 of the selected HRCs had contacted
152 67 symptomatic index cases, 175 HRCs got exposed to the 27 asymptomatic index cases. The
153 infection rate of HRCs exposed to asymptomatic cases (16.67% in 2020 and 9.14% in 2021) is
154 higher than HRCs exposed to symptomatic cases in both waves (6.51% and 9.14% in 2020
155 and 2021 respectively) in both periods.

156 However, the result of Chi-Square, which tests the difference between the infection variable
157 and the COVID-19 case's symptoms, was reported as significant at a 95% level of confidence
158 in only the 2020 period. However, the result of Chi-Square only confirmed the statistical
159 differences for only the 2020 dataset. This implies we found a significant difference in infection
160 rates between the HRCs exposed to asymptomatic and symptomatic cases only in 2020. To
161 fully investigate the exposure risk between asymptomatic and symptomatic index cases, , the
162 logistic regression was conducted to find the coefficient and odd ratio of each variable based

163 on equation 1. The explanation and descriptive result of the variables proposed in equation by
164 time period are reported in Table 3.

165 **[Insert Table 3]**

166 The interpretation of odd ratio (OR) of the variables proposed in equation 1 are reported in
167 Table 4 and interpreted as follow.

168 **[Insert Table 4]**

169 Table 4 illustrate COVID-19 exposure risk of HRCs through their exposure of either
170 symptomatic or asymptomatic index cases and other control variables for both periods with the
171 simulated probability of HRC by the logistic regression. It reveals that the difference in
172 infection probability between HRCs exposed to asymptomatic cases and HRCs exposed to
173 symptomatic cases was no longer statistically significant at 95% CI. This result represents that
174 the potential transmission risk of asymptomatic infections is not different from that of an index
175 case with symptoms. The output of this study was similar to the studies reported earlier in 2020
176 and 2021 (Bai, et al., 2020; Ma, et al., 2021). The study of 3,790 HRCs in Singapore by
177 Sayampanathan *et al.* (2020) recently revealed no relationship between the infection rate of
178 HRCs and the serology status of the index case, it could be that close contacts tend to regular
179 get in touch with the index case and virus spreading happens before a person who was
180 infectious turns to seropositive.

181 However, the analysis from both 2020 and 2021 datasets showed that variables representing
182 household infection attacks were reported at a 99% confidence level. It was found that the odd
183 ratio of contacts who share the same household was as high as 7.93 (95% CI: 3.98-15.80), and
184 5.05 (95% CI: 2.83 - 9.02) in the year 2020 and 2021. This implies the HRCs who live in the
185 same household of the index case have eight times the odds of the non-household group of
186 COVID-19 index case in 2020 and approximately five times the odds in the period of 2021.

187 Similar results were found in the recent studies by Chen *et al.* (2020) and Edwards (2020), the
188 virus spread more easily amongst people living in the same household.

189 No relationship was found between HRCs' infection probability and other control variables,
190 age, and gender differential; these indicate the difference in age and gender among HRCs could
191 not statistically result in differences in infection probability of HRCs.

192 **Discussion and Policy implication**

193 Phuket was among the first area in the world with the reported COVID 19 cases, the first
194 imported case was detected as early as January 2020. However, the island's major outbreak
195 occurred through local transmissions in April 2020; daily new cases gradually declined toward
196 zero new cases at the end of April 2020. A year apart, the second major outbreak on the island
197 started again in April 2021.

198 The descriptive analysis indicated that the infection rate among HRCs exposed to symptomatic
199 index cases is higher than that of HRCs with exposure to asymptomatic index cases for both
200 waves. However, the Chi-square test only confirms the statistical differences between the odds
201 of these two groups of HRCs in 2020. In the subsequent regression analysis and 95% CI, an
202 exposure of HRCs to either asymptomatic or symptomatic cases could not result in a difference
203 in HRCs' infection probability. This study remarked the potential transmission risk of
204 asymptomatic infections is not more than the transmission risk of an index case with symptoms.
205 Thus, HRCs with recorded exposure to symptomatic or asymptomatic cases should be treated
206 with equivalent measures, including isolation containment and contact tracing procedures (Ma,
207 *et al.*, 2021).

208 This study's finding of household secondary attacks in both waves should once again draw
209 attention to the intensity of household COVID-19 attacks, as Li *et al.* (2020) and Phucharoen,

210 Sangkaew, and Stosic (2020) also found. Chen *et al.* (2020), Cauchemez *et al.* (2009), and Hui
211 *et al.* (2018) also found a higher risk of transmission within the households. This finding
212 implies the transmission capabilities of COVID-19 between contacts who work with or live in
213 the same accommodation with an index case. A plausible explanation for continuous
214 transmissions within Thai households could be derived from the recent behavior study (Faculty
215 of Economics, Chulalongkorn University, 2020), which found that 70-80% of Thai households
216 still share meals for Breakfast, Lunch, and Dinner, even in the midst of the COVID-19
217 pandemic. These combined findings therefore recommend further studies of ethnic groups'
218 meal preferences within households, in order to better equip public health authorities
219 worldwide on the ways of further reducing the transmission probabilities within households.

220 With the application of this finding to vulnerable infection groups, elderly members and
221 household members with chronic health conditions considered SARS-CoV-2 valulnerable
222 group (Centers for Disease Control and Prevention, 2022a) should be separated from sharing
223 the same roof with index case who either has or does not has the symptoms.

224 The present statistical analysis of this paper does not particularly highlight the camouflage
225 operation of COVID-19, as it can spread during presymptomatic or asymptomatic index cases
226 (Bai *et al.*, 2020; Arons *et al.*, 2020; Song *et al.*, 2020). The result of this study further
227 emphasizes the potential for infections within households. Early detection, either through self-
228 screening or state provided screening and immediate isolation of indexed cases from the elderly
229 and household members with chronic health conditions could reduce loss or public health
230 burden to protect a vulnerable group of household members.

231 COVID-19 is still considered a concurrent pandemic, and many researchers across the globe
232 are continuously investigating the newly emerged SARS-CoV-2 disease. One of the limitations
233 in this analysis is the construction of the testing models, as they were based on the disease's

234 current understanding. Therefore, the exogenous variables list is not complete to include all
235 factors that could potentially affect HRCs' infection probability. In addition, the surveillance
236 data could only reflect whether the contact lived in the same household with the index case as
237 a dummy variable. Still, the data in this analysis does not contain detailed information on
238 household sizes, house sharing facilities, or physical dimensions of dwellings. Finally, the
239 present study is based on contact tracing data in Phuket, which has specific geographical
240 characteristics and during two major waves. The data do not represent the Delta and Omicron
241 waves, which imposed even more daily infections on the island. Last, Phuket is an island where
242 authorities can control the population's mobility at an absolute scale during the first and second
243 waves in 2020 and 2021 respectively. Therefore it would be interesting to test the applied
244 statistical model on other areas' contact tracing data on either at regional or national scale and
245 verify the results of this study.

246 **Ethics approval and consent**

247 For this study, the Institutional Review Board or any formal approval from the ethical
248 committee is not required as the study uses pre-existing and deidentified data. Data is
249 anonymized and irreversibly deidentified to protect index cases and all contacts. It should be
250 noted that the identifying details of observations also remained completely anonymous. No
251 trials were conducted on either humans or animals for this study.

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256 **Declaration of interest**

257 We declare no competing interests.

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Table 1 Descriptive statistics of two types of confirmed cases and high-risk contacts (HRCs)

Period	Confirmed cases		HRCs' Infection			Percentage of Infection
			Non detected	Detected	Total	
April 2020	Symptomatic	169				
	Asymptomatic	45	916	172	1088	15.81%
	Total cases	214				
April 2021	Symptomatic	112				
	Asymptomatic	61	1267	119	1386	8.59%
	Total cases	173				

Table 2 Descriptive statistics of selected confirmed cases and high-risk Contacts (HRCs) and Chi-square tests of HRCs' Infection between symptomatic and asymptomatic cases

Period	Confirmed cases		HRCs' Infection			Percentage of Infected	Chi-Square Tests	
			Non detected	Detected	Total		Pearson Chi-Square Sig. (2-tailed)	Fisher's Exact Test Sig. (2-tailed)
April 2020	Symptomatic	102	546	38	584	6.51%	0.033**	0.050**
	Asymptomatic	5	25	5	30	16.67%		
	Total cases	107	571	43	614	7.00%		
April 2021	Symptomatic	67	453	40	493	8.11%	0.673	0.638
	Asymptomatic	27	159	16	175	9.14%		
	Total cases	94	612	56	668	8.38%		

Note: The figure reported with * are significant with 0.1 significance level, the figure reported with ** are significant with 0.05 significance level, figures reported with *** are significant at 0.01 significance level.

Table 3 The explanation and descriptive statistics of the variables proposed in equation by period.

Characteristics	Measurement	April 2020		April 2021		
		Infected HRC	Non-infected HRC	Infected HRC	Non-infected HRC	
Detected	Whether HRC was a COVID-19 confirmed case	43	570	56	612	
Index cases' Symptom	Whether index cases show any signs that the disease is present in their body.	Symptomatic (1)	38	546	40	453
		Asymptomatic (0)	5	25	16	159
Gender differential	Gender differential between an index case and HRC	yes (0)	26	342	25	308
		No (1)	17	229	31	304
Household	Whether HRC lived in the same household with the confirmed case during the past 14 days	Yes (1)	20	59	32	136
		No (0)	23	512	24	476
Index cases' age	Age of each index case (year)	44.8-year-old in average	38.7-year-old in average	37.2-year-old in average	39.1-year-old in average	
HRC Age [†]	Age of high-risk contact (HRC) by group	0-4	1	15	0	0
		5-17	4	55	0	0
		18-29	13	164	25	198
		30-39	5	139	12	211
		40-49	10	99	12	125
		50-64	7	82	7	78
	65 and above	3	16	0	0	

[†]Note: It should be noted for 1 of them were excluded from the analysis, due to the missing information about the required variables.

Table 4 Results of secondary attack rate among high risk analysis by logistic regression model

Variables	Odds Ratio for COVID-19 secondary attack in April 2020				Odds Ratio for COVID-19 secondary attack in April 2021			
	Beta	P value	Odd Ratio (OR)	95% CI of OR	Beta	P value	Odd Ratio (OR)	95% CI of OR
Intercept (Constant)	-20.46	1.00	<0.001	(-)	-3.19	<0.001	0.04	(-)
Index cases' Symptom	-1.07	0.06	0.34	(0.11 - 1.0)	-0.09	0.77	0.91	(0.47 - 1.71)
Household	2.07	<0.001	7.93	(3.98 - 15.80)	1.62	<0.001	5.05	(2.83 - 9.02)
Gender differential	-0.31	0.39	0.74	(0.37 - 1.49)	0.26	0.38	1.30	(0.73 - 2.30)
Index cases' age	0.02	0.08	1.02	(1.00 - 1.039)	-0.01	0.42	0.99	(0.98 - 1.01)
Contacts' age (0-4)	17.54	1.00	>999.99	(<0.001 - >999.999)	-	-	-	(-)
Contacts' age (5-17)	17.53	1.00	>999.99	(<0.001 - >999.999)	-	-	-	(-)
Contacts' age (18-29)	17.76	1.00	>999.99	(<0.001 - >999.999)	0.76	0.11	2.14	(0.85 - 5.40)
Contacts' age (30-39)	17.09	1.00	>999.99	(<0.001 - >999.999)	-0.13	0.80	0.88	(0.32 - 2.40)
Contacts' age (40-49)	18.08	1.00	>999.99	(<0.001 - >999.999)	0.55	0.30	1.72	(0.62 - 4.84)
Contacts' age (50-64)	17.71	1.00	>999.99	(<0.001 - >999.999)	-	-	-	(-)
Contacts' age (65 and above)	18.42	1.00	>999.99	(<0.001 - >999.999)	-	-	-	(-)
Number of observations			613 [†]				668	
Observations with Dep=0			570				612	
Observations with Dep=1			43				56	
Pseudo R Square			0.179				0.123	

[†] It should be noted for 613 observations, 1 of them were excluded from the analysis, due to the missing information about the required variables.