

1 **Groundwater potential mapping using GIS and remote sensing with multi-criteria**
2 **decision-making in Shinile sub-basin, eastern Ethiopia**

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20 **Abstract**

21 The main challenge for water resources development as well as food security in arid and
22 semi-arid regions of Ethiopia is hydroclimatic variability. Groundwater resources are largely
23 the main sources of water supply in such regions in order to alleviate the pressure of
24 hydroclimatic variability on water resources. The present study delineated the potential
25 groundwater zones in the Shinile sub-basin by using the geospatial technique. The criteria:
26 geology, geomorphology, slope, soil, lineament density, drainage density, land use land
27 cover, topographic wetness index, topographic roughness index, and rainfall were used. The
28 relative weights were given by the analytic hierarchy process technique. The validation result
29 was checked using the area under the curve (AUC=0.941) of the receiver operating curve
30 (ROC) from borehole data. The study region classified as low, moderate, and high potential
31 groundwater zones having; 1.5%, 43%, and 55%, respectively, of the total area. High
32 potential areas are concentrated in the central part where alluvial and lacustrine sediment is
33 a dominant geologic unit. The validation results suggest that the geospatial application of
34 groundwater potential zone identification effectively performed well for the study area. This
35 study is very important for water management experts as well as stakeholders and
36 policymakers in the study region.

37 **Keywords:** Geographic Information System; Satellite imagery; Groundwater potential site;
38 Multi-Criteria Decision-Making; Shinile sub-basin.

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1. Introduction

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42 Groundwater is water found in the sub-surface that fills the pore space of soils which is one
43 of the main sources of worldwide water supply. It is a precious resource and population
44 growth, intensive agriculture, rapid industrialization, and climate change are the coming
45 challenges(Manap, Sulaiman, Ramli, Pradhan, & Surip, 2013). Ground-based hydro-
46 meteorological observations are often limited in developing nations like Ethiopia due to a
47 lack of resources. Ethiopia has a lot of surface water potential, however, developing its water
48 resources is difficult due to its uneven distribution and topographic complexity. Most of the
49 surface water resources are unevenly concentrated relative to the population density
50 (Berhanu, Seleshi, & Melesse, 2014; Mengistu, Demlie, & Abiye, 2019). According to
51 literature, southern, southwest, and southeast regions would experience up to a 20% decrease
52 in rainfall as a result of climate change (Hailemariam, 1999; Seleshi & Zanke, 2004).

53 Due to the lack of surface water in the study area, groundwater is the best option for satisfying
54 the water demand. A groundwater investigation is a fundamental theme to research in the
55 region because it is the only source of water supply. (Devereux, 2010; Gebrewahid, KASA,
56 GEBREHIWOT, & Adane, 2017) The potential and characteristics of groundwater are
57 poorly understood, despite the study area's dependence on its groundwater resources.
58 Identification of groundwater potential sites is therefore a crucial undertaking that improves
59 the management of water resources in the semi-arid region in general and the Shinile sub-
60 basin in particular in order to reduce the occurrence of recurrent droughts.

61 The primary objective of this research is to use GIS and remote sensing techniques to define
62 the groundwater potential zones in the Shinile sub-basin. Ten variables that affect the

63 subbasin's groundwater potential were used in the study. The layers are arranged in categories
64 such as geology, geomorphology, rainfall, land use and land cover (LULC), slope, soil,
65 lineament density, drainage density, topographic wetness index (TWI), and topographic
66 roughness index (TRI). The results of this research will give a basic understanding of how
67 various hydro-climatological factors affect regional groundwater resources. Additionally,
68 this methodology is applicable to other arid and semi-arid regions.

69 **2. Previous works**

70 Groundwater is a hidden resource and its explorations by drilling test and stratigraphy
71 analysis methods are either cost or time taking and also often require skilled manpower
72 (Kumar, Herath, Avtar, & Takeuchi, 2016) These methods are not feasible for developing
73 nations like Ethiopia. The best and most cost-effective solutions to these problems are using
74 remote sensing (RS) and geographical information system (GIS) for assessing and managing
75 groundwater resources (Mallick, Singh, Al-wadi, Ahmed, Rahman, Shashtri, & Mukherjee,
76 2014)

77 Exploring water resources in general and groundwater study, in particular, can benefit greatly
78 from the use of GIS and remote sensing. Many studies have employed GIS and remote
79 sensing techniques for geological mapping. (Mohamed, Al-Naimi, Mgbeojedo, & Agoha,
80 2021; Yamusa, Danbatta, & Najime, 2018) The most common applications include
81 groundwater resources assessment, recharge and discharge site identification, pollution
82 investigation, and groundwater quality studies (Dandge & Patil, 2022; Senthilkumar,
83 Gnanasundar, & Arumugam, 2019).

84 Studies using GIS and multi-criteria decision-making to investigate groundwater potential
85 zones in Ethiopia are available (Kabeto, Adeba, Regasa, & Leta, 2022; Yihunie & Halefom,
86 2020) In the study catchment, there is scant research on water resources (Ketema, Lemecha,
87 Schucknecht, & Kayitakire, 2016; Riché, Hachileka, Awuor, & Hammill, 2009). The
88 majority of these studies focus on the effects of climate change and related problems
89 (Devereux, 2006; Girmay, Gebreselassie, & Bajigo, 2018; Solomon, 2013). The main
90 investigation into the groundwater potential of the studied region is that conducted by Ketema
91 and colleagues (Ketema et al., 2016) on hydrogeological characteristics in Shinile woreda.
92 They investigated the subbasin's Shinile woreda's hydrogeological aspects. But rather than a
93 hydrological boundary, their investigation was restricted to the woreda level (political
94 administrative boundary). Furthermore, their study only uses a small number of thematic
95 factors to classify groundwater potential, which could reduce the analysis's accuracy.
96 Groundwater potential mapping has not received much attention in the past in the region.
97 The current study used remote sensing and GIS to investigate the potential for groundwater
98 in detail.

99 **3. Methodology**

100 **3.1. Description of the study area**

101 The Shinile sub-basin is found in the eastern extreme part of the Awash river basin.
102 Geographically the sub-basin is located between 9^o24' to 10^o55' north and 41^o19' to 43^o17'
103 having 19963sq.km area coverage. The drainage of the sub-basin follows a south-eastern to
104 north-western orientation (Figure 1). The area is characterized by an arid and semi-arid
105 climate and drought occurrence is the main challenge in the region. (Berhanu, Melesse, &

106 Seleshi., 2013). The mean annual rainfall ranges from 200-800 mm and the average
107 temperature range from 28 to 38 °C. The inhabitant of the area follows a pastoralist and agro-
108 pastoral way of life. Livestock production is the backbone of the economy in the region
109 (Hundera, 2010) Majority of the sub-basin, particularly the central part covered by the
110 quaternary formation. Tertiary volcanic are also cover areas in the upper catchment border
111 area and the northeastern stripes (Kebede, 2013).

112 **3.2. Input data acquisition**

113 The climatic parameters were obtained from National Meteorological Agency. The
114 topographic and hydrological factors were collected and produced from SRTM digital
115 elevation model (DEM) data on the USGS website. Hardcopy maps of the study area were
116 obtained from the Ethiopian geological survey institute, the ministry of water, and literature.
117 The USGS and Copernicus hub websites were used as a source to download Sentine2 satellite
118 imagery (<https://earthexplorer.usgs.gov>). The flow chart of the study includes all the thematic
119 parameters with the methodology followed (Figure 2).

120 **3.3. Groundwater influencing factors**

121 For delineating the groundwater potential zone, the study applied influencing factors
122 geology, geomorphology, rainfall, land use land cover (LULC), slope, soil, lineament
123 density, drainage density, topographic wetness index (TWI), and topographic roughness
124 index (TRI). For common projection, all the thematic maps were converted and geo-
125 referenced to the same projection. ArcGIS 10.3 software was the main manipulation

126 environment in thematic map preparations. Additionally, the Rockwork 16, ERDAS Imagine,
127 and Surfer software were used in this study for data processing.

128 **3.4. Multi-Criteria Decision Making (MCDM) approach**

129 The study applied the analytic hierarchy process technique of the multi-criteria decision-
130 making approach. AHP is a matrix-based technique and works according to Saaty's scale 1-
131 9 to measure the relative weight of criteria. (Saaty, 1987, 2002; Zhang, Sekhari, Ouzrout, &
132 Bouras, 2014) The pairwise comparison matrix table (table 1) indicates the relative weight
133 of each criterion with the AHP technique of the MCDM method. The sum of each column of
134 the pairwise matrix table value multiplied by each weight value gives the normalized pairwise
135 matrix. To get the weight of each criterion the average value of the normalized pairwise
136 matrix was calculated.

137 **3.5. Consistency examination**

138 The agreement of the calculated weight of each criterion should be checked before using it
139 for overlay analysis. So, the hesitation that can occur in the ranking and weight giving was
140 checked using consistency index and consistency ratio values.

$$141 \text{ Consistency ratio}(CR) = \frac{\text{consistency index}(CI)}{\text{Random consistency Index}(RCI)}, CI$$
$$142 = \left(\frac{\lambda_{max} - n}{n - 1} \right) \dots \dots \dots (1)$$

143 Where λ_{max} is the maximum eigenvalue (the product between each element of the priority
144 matrix table and sum total) $\lambda_{max} = 10.709$; and n is the matrix size (number of criteria used,
145 n=10). So, the consistency index value becomes (CI=0.078). Taking the value of random

146 consistency index from the table value for n=10, RCI =1.49 (Saaty & Katz, 1990; Tummala,
147 V. M, Ling, & Ling, 1996). So, the consistency ratio of the analysis
148 CR=0.078/1.49=0.053<<0.1 and acceptable for groundwater potential mapping.

149 **3.6. Delineation of groundwater potential Map**

150 The groundwater potential zone map was prepared using a weighted overlay of the spatial
151 analyst tool of ArcGIS. The groundwater potential index (GWPI) is defined as:

$$152 \quad GWPI = \sum_{i=1}^n (W_i * R_i) \dots \dots \dots (2)$$

153 Where W_i is the weight of each thematic layer and R_i is the rank of the sub-classes of each
154 thematic layer. The results of the study were validated using the water point data of the field
155 and their distribution. (B. Das & Pal, 2020; Fashae, Tijani, Talabi, & Adedeji, 2014)

156 **4. Results and Discussion**

157 In this part of the study, the results of thematic maps developed for overlay analysis and the
158 discussion are presented. Table (2) present the weightage of each thematic layer and the ranks
159 given for the sub-classes of the thematic layer.

160 ***Geology***

161 The geological features of the area collected from Ethiopia geological survey institute in
162 “pdf” and “jpg” formats were used by georeferencing and digitizing in ArcGIS software.
163 According to a geological survey report (Geological Survey of Ethiopia, 1996), the
164 quaternary formation covers a major area of the central part of the sub-basin. The upper
165 catchment border area and the north-eastern stripes consist of very deep tertiary volcanic

166 aquifers (Kebede, 2013). The major features in the study area (Figure 3) are alluvial and
167 lacustrine sediments, afar series, and the Hamanlei Formation which cover 45%, 24%, and
168 12 % of the study area. Basalt flows, spatter cones, and hyaloclastites and the Alghe group
169 have indispensable coverage in southern and south-eastern strips each covering 7% of the
170 area.

171 ***Geomorphology***

172 The terrain of the study area is characterized by a huge difference in elevation between 346
173 to 3022m above m.s.l. There are two basic landforms in the study area: undulating ridge land
174 on the upper catchment and the alluvial plain covering much of the area on the central part.
175 The geomorphological classes of the study area (Figure 3) are valley, middle slope, plains,
176 hills, and high ridges and mountains. The respective area areal coverage is: 3.04%, 14.72%,
177 69.91%, 10.02% and 2.29%. Mountains are sloping surfaces and facilitate surface runoff
178 rather than infiltration and are ranked to the lowest.

179 ***Rainfall***

180 Rainfall is one of the main parameters that influence the hydrogeological condition of an
181 area. The amount of rainfall has a direct effect on the groundwater zone as the main source
182 of recharge. The rainfall point data for the study area as well as neighboring stations was
183 collected from National Meteorological Agency. The study area experienced average annual
184 rainfall of 612 mm. A spatial rainfall map of the study area was done using the inverse
185 distance weighting (IDW) interpolation method.

186 *Slope*

187 The slope of the surface is a degree of inclination and is directly proportional to the surface
188 runoff. The slope is an important topographic parameter that can influence surface water
189 distribution. The higher the slope, the steeper the surface and the higher will be the surface
190 runoff, which decreases the infiltration capacity (Morbidelli, Saltalippi, Flammini, &
191 Govindaraju, 2018). The slope map of the study area was produced from DEM data using
192 ArcGIS. The slope value was then reclassified into five subclasses (flat sloping, gentle
193 sloping, strong sloping, moderate steep sloping, and very steep sloping). The mountains in
194 the upper catchment area are very steep sloping and taken as lower capability of groundwater
195 potential.

196 **Lineaments and lineament density**

197 Lineament is a linear structural feature found on a land surface that infers the characteristics
198 of underline geological features of an area. Lineament can be a structure like a fault, fracture,
199 or joint on the landscape. (Caran, Woodruff, & Thompson, 1981) The concept of lineaments
200 was familiarized for the first time by Hobbs (1904). He used the idea of lineament to describe;
201 crests of ridges, drainage lines, coastlines, and boundary lines of rock formations. The
202 lineament orientations in the study area follow NE-SW trends (Figure 5). The lineament's
203 density (L_d) of an area is defined as the proportion of the total length of the lineament
204 structure to the areal coverage (Figure 6).

205 ***Land use and land cover (LULC)***

206 The cover of the earth's surface is one of the main characteristics that can influence water
207 availability in any region. LULC of the surface has a huge influence on hydrological as well
208 as hydrogeological systems. The bare land area becomes more susceptible to surface runoff
209 than infiltration (Guzha, Rufino, Okoth, Jacobs, & Nóbrega, 2018; Srivastava, Kumari, &
210 Maza, 2020). To produce and classify the LULC the study used Sentinel 2 satellite imagery.
211 The LULC of the study area presented in (Figure 4) is classified into six categories:
212 agricultural land, settlement, forest land, bare land, shrubland, rock out crop, and grassland.

213 ***Drainage Density***

214 The drainage density is defined as the closeness of a channel in any particular catchment.
215 The drainage density of a particular area depends on the hydroclimatic and surface
216 characteristics. (Das, Gupta, & Ghosh, 2017; Krause, Jacobs, & Bronstert, 2007) Drainage
217 density is inversely proportional to the groundwater potential zone. The drainage density of
218 a catchment is defined as the ratio of the total length of the stream to the area of the catchment.
219 The map of the study in (km/km²) value classified in five from very low to very high (Figure
220 6).

221 ***Soil***

222 Soil hydraulic property has a huge role in subsurface percolation and the water-holding
223 capacity of the soil. This characteristic of soil determines the groundwater movement and
224 infiltration capacity (Berhanu et al., 2013). Fine texture soil has a lower infiltration capacity
225 that minimizes the groundwater recharge. (Das et al., 2017; Ma W, Zhang, Zhen, & Zhang,

226 2016) The soil in the study area (Figure 4) is composed of clay, loam, loamy sand, and sandy
227 loam textures.

228 ***Topographic Wetness Index***

229 The topographic wetness index (TWI) is also used to describe the effects of topography on
230 pondage and runoff occurrence (Bera, Mukhopadhyay, & Barua, 2020; Pham, Jaafari,
231 Prakash, Singh, Quoc & Bui, 2019). TWI was used for moisture distribution with elevation
232 data of the study area. The topographic wetness has a direct relationship to recharge. The
233 higher value of TWI, the higher the water accumulation the higher will be the recharge, the
234 give higher the groundwater potential zone, and vice versa. (Wilson & Gallant, 2000) The
235 TWI is defined as:

236
$$TWI = \ln \left(\frac{A_s}{\tan \beta} \right) \dots \dots \dots (3)$$

237 Where: A_s = upslope contributing area, β = topographic gradient (slope) in degree

238 ***Topographic Roughness Index***

239 The topographic roughness index is a morphologic parameter that can influence the
240 groundwater potential zone of an area. Terrain roughness implies the texture of the surface
241 that can be rough or smooth. The smoother the surface the flatter the slope this favors the
242 water particle to infiltrate to the sub surface and vice versa. (Roy, Chakraborty, Chowdhuri,
243 Malik, Das, & Pal, 2020) Topographic roughness index (TRI) is given as:

244
$$TRI = \frac{FS_{mean} - FS_{min}}{FS_{max} - FS_{mn}} \dots \dots \dots (4)$$

245 Where: FS_{mean} mean focal statistic, FS_{max} maximum focal statistics and FS_{min} minimum focal
246 statistic of a surface(Mukherjee & Singh, 2020).

247 **4.1. Groundwater Potential Zone map**

248 Modeling the groundwater potential with ten thematic layers involves the integration of these
249 layers in to one raster map that shows the groundwater potential zone (Dhar, Sahoo S., &
250 Sahoo M., 2015; Panigrahi, Nayak, & Sharma, 1995). All the thematic layers were converted
251 into raster format for overlay analysis. The weights were given according to the influence of
252 each criterion on groundwater recharge. The ArcGIS weighted overlay analysis results in
253 low, moderate, and high groundwater potential zone. High groundwater potential areas are
254 alluvial and lacustrine sediment areas (Figure 7), this clearly assure that the alluvial plain has
255 very good for groundwater potential development.

256 **4.2. Validation**

257 Literature showed that one of the methods of validation of groundwater potential zone maps
258 was through borehole and spring data. (Andualem & Demeke, 2019; Senapati & Das, 2022)
259 In order to validate the groundwater potential model area under the curve (AUC) of the
260 receiver operating curve (ROC) the method was applied (Figure 8). The predicted value
261 shows a very good potential (94.1%). This gives clues to the accuracy of GIS and remote
262 sensing methods for groundwater potential zone mapping in the study area.

263 **5. Conclusion**

264 Studying and developing groundwater resources is crucial for ensuring sufficient food
265 security and sustainable development in arid and semi-arid regions like the Shinile sub-basin.

266 The current study aimed to investigate the groundwater potential zone in the Shinile sub-
267 basin using GIS and remote sensing with the AHP technique. Ten elements that significantly
268 affect the groundwater potential zones of the study's inclusion criteria. The ultimate
269 groundwater potential area has three levels, with a total area of 19478.83 sq.km: low (310.04
270 sq.km), intermediate (8392.73 sq.km), and high (10776.06 sq.km). The results indicate that
271 55.32% of the area is covered by a high groundwater zone. The high groundwater
272 development potential of the alluvial plain is evident in the alluvial and lacustrine sediment
273 deposits. The area under the ROC curve for the model shows very great performance
274 (94.1%). This study shows that zoning groundwater potential by taking into account a variety
275 of influencing elements can increase the accuracy of the investigation. If more accurate data
276 are available, the methodology used in this study could be applied in other locations in a
277 similar hydroclimatic region. The result could be utilized by planners and policy makers in
278 the region's water resources sector.

279 **Conflict of interest**

280 There is no conflict of interest to disclose.

281 **Acknowledgments**

282 The author expresses an appreciation to all governmental organizations for their cooperation
283 for data collection. The author also wants to express deep gratitude to an anonymous reviewer
284 and editors for their enormous constructive reviews, which significantly improved the quality
285 of the paper.

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Figure 1 Location map of Shinile sub-basin

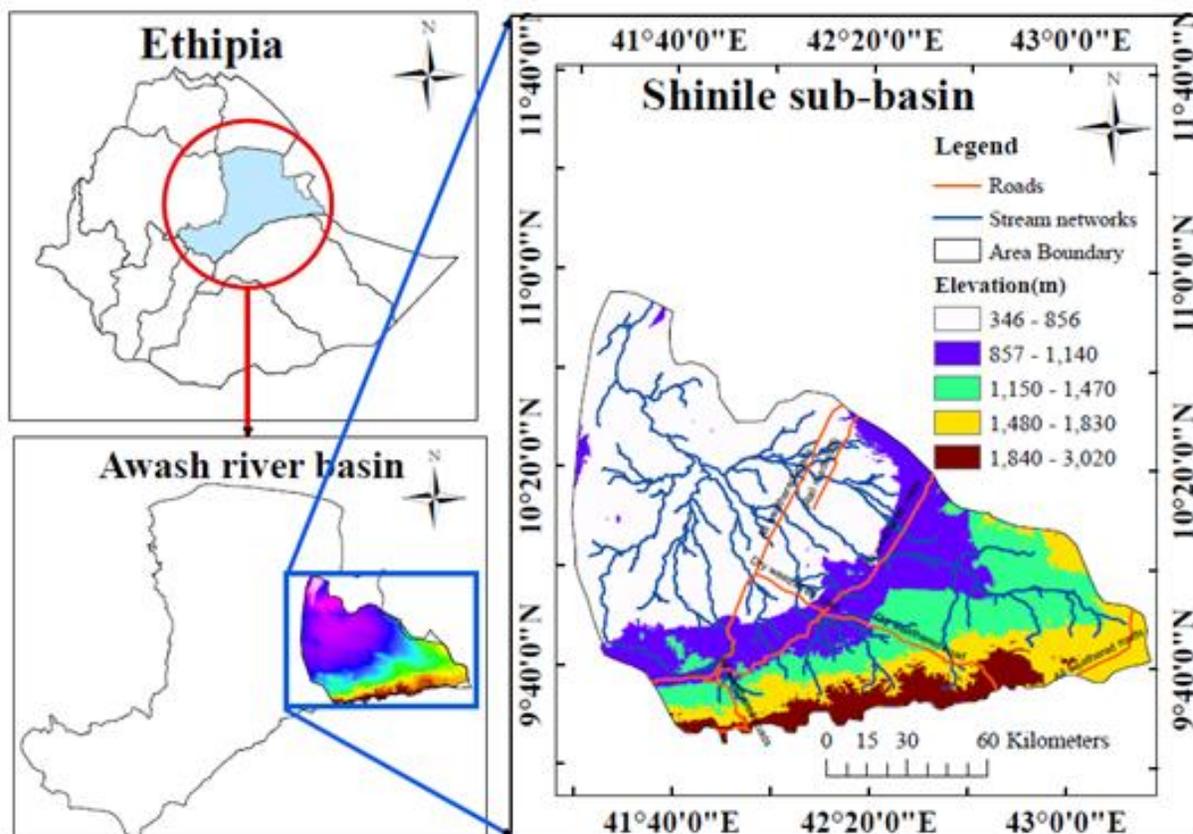


Figure 2 Methodology flow chart of the study

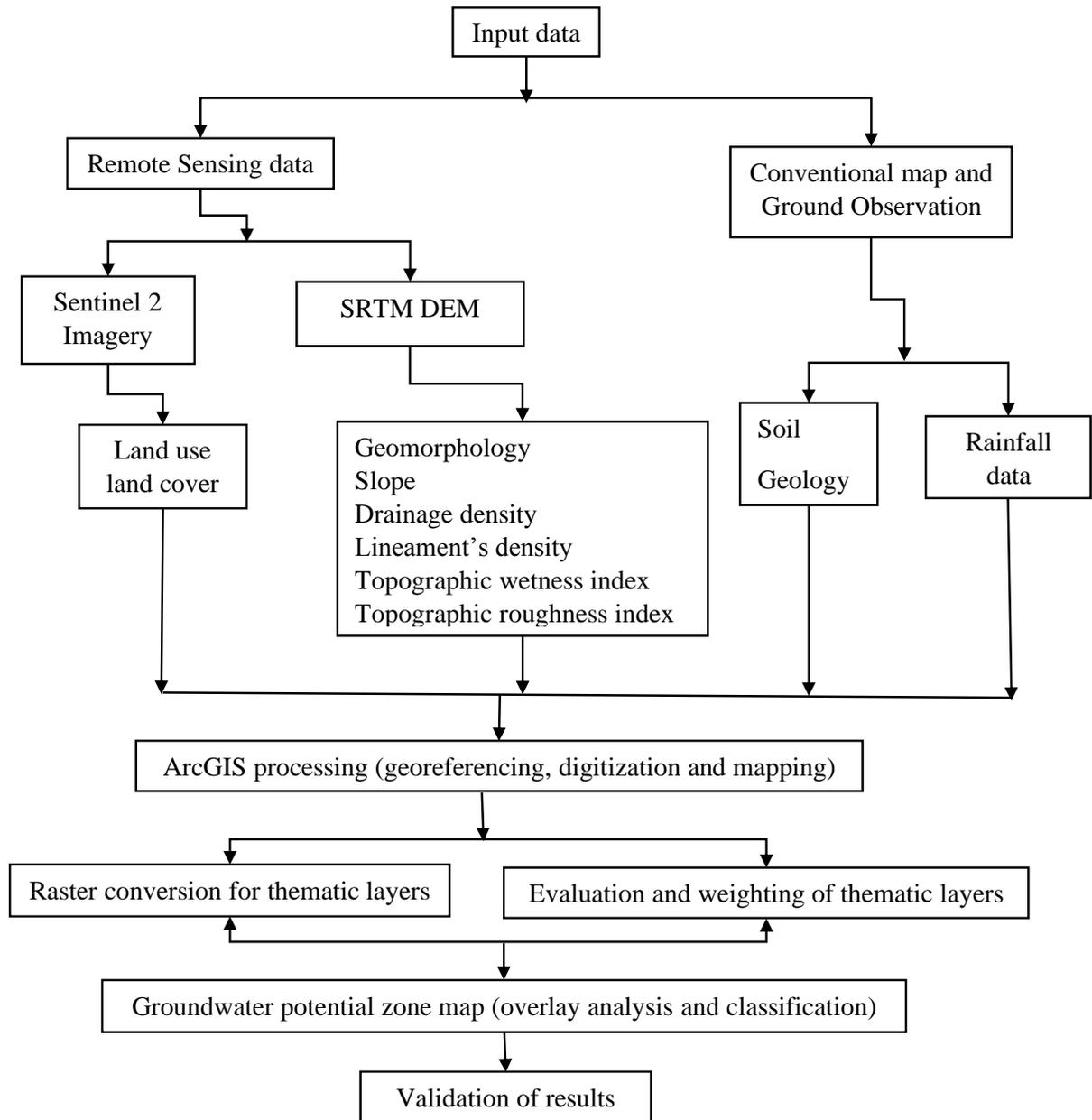


Figure 3 (a) Geological features and (b) Geomorphological class in Shinile sub-basin

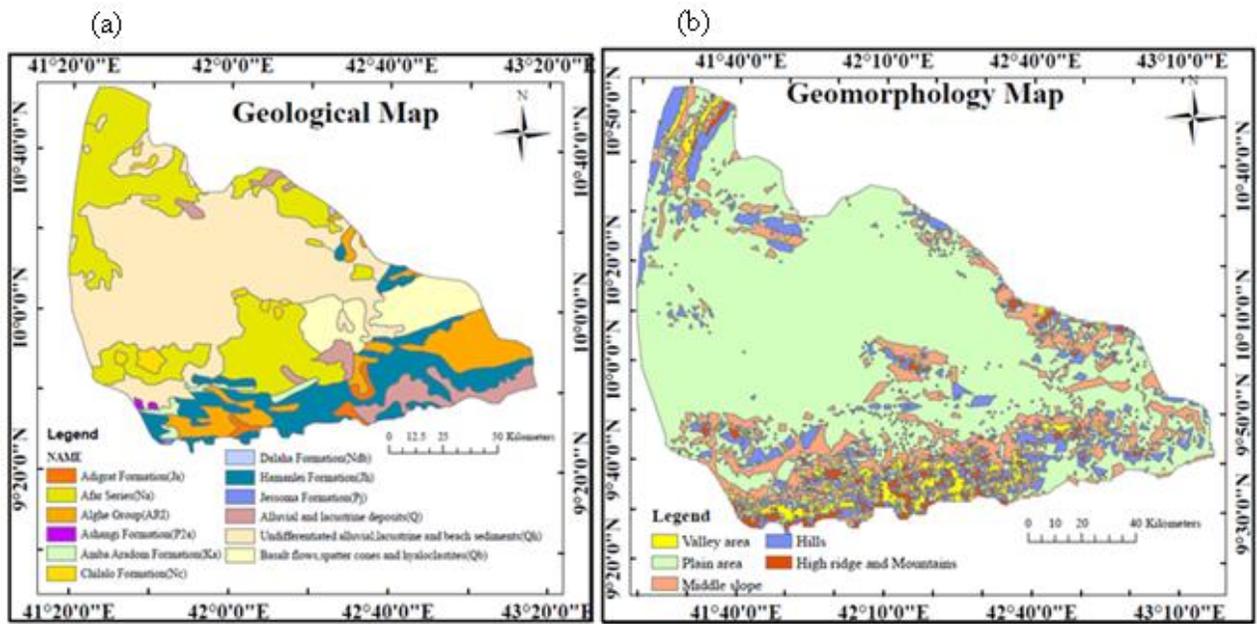


Figure 4 (a) LULC classification and (b) Soil texture class of the study area

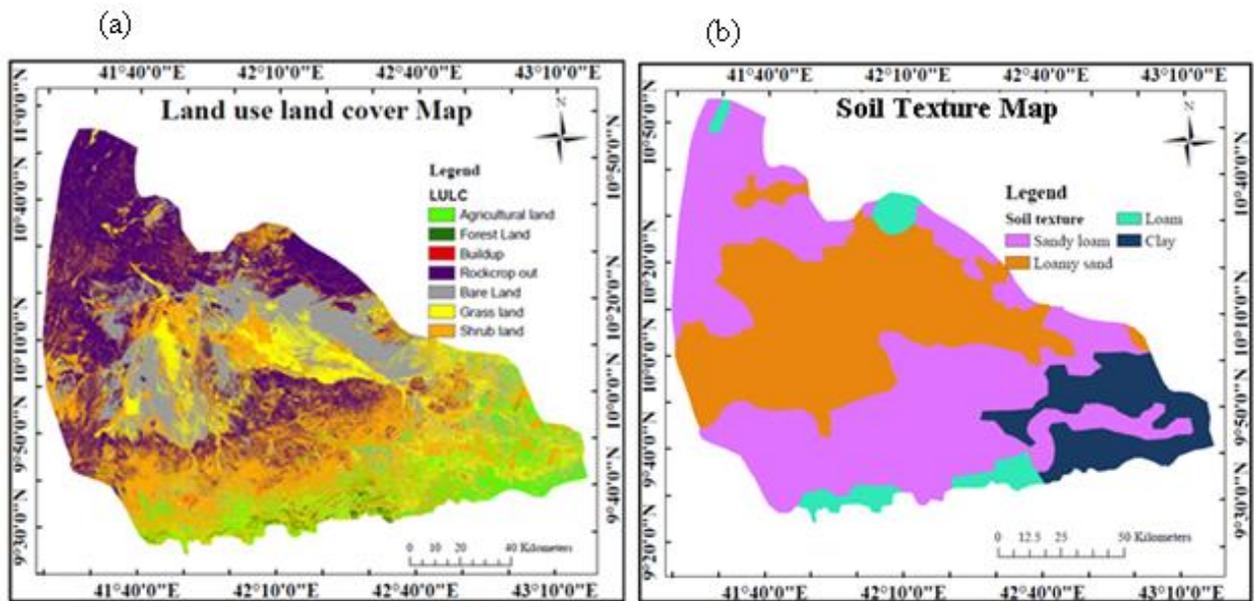


Figure 5 (a) Lineaments distribution in Shinile Sub-basin and (b) Rose diagram showing lineament orientation.

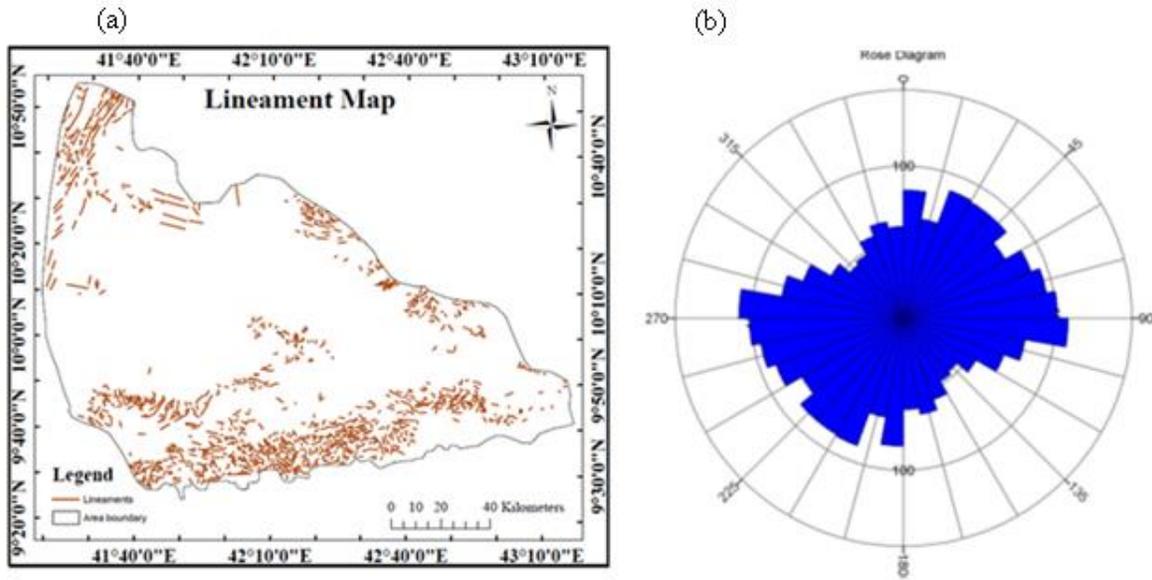


Figure 6 (a) Lineaments density map and (b) Drainage density map

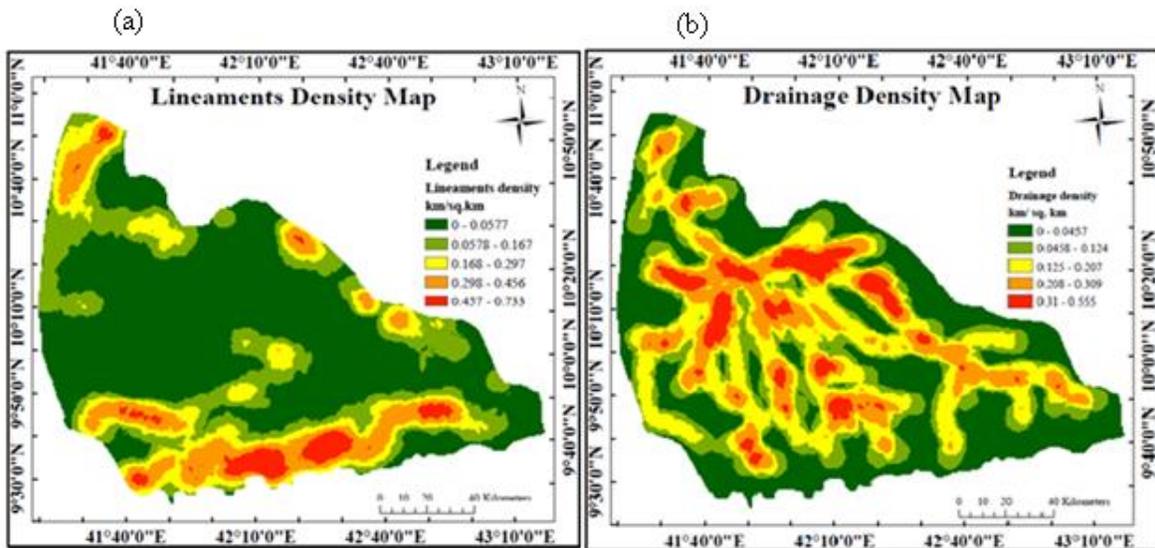


Figure 7 Map of groundwater potential classification

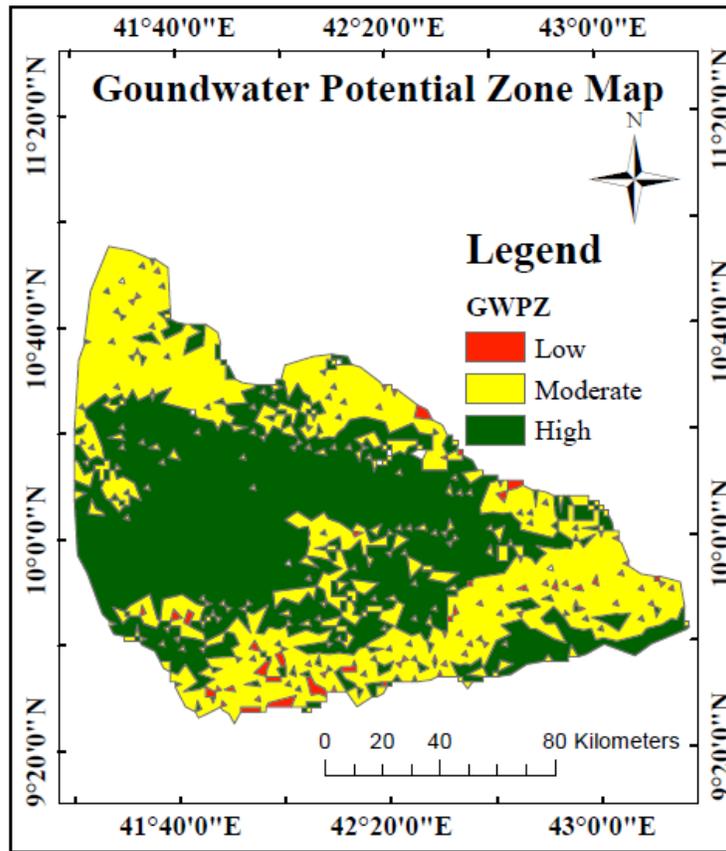
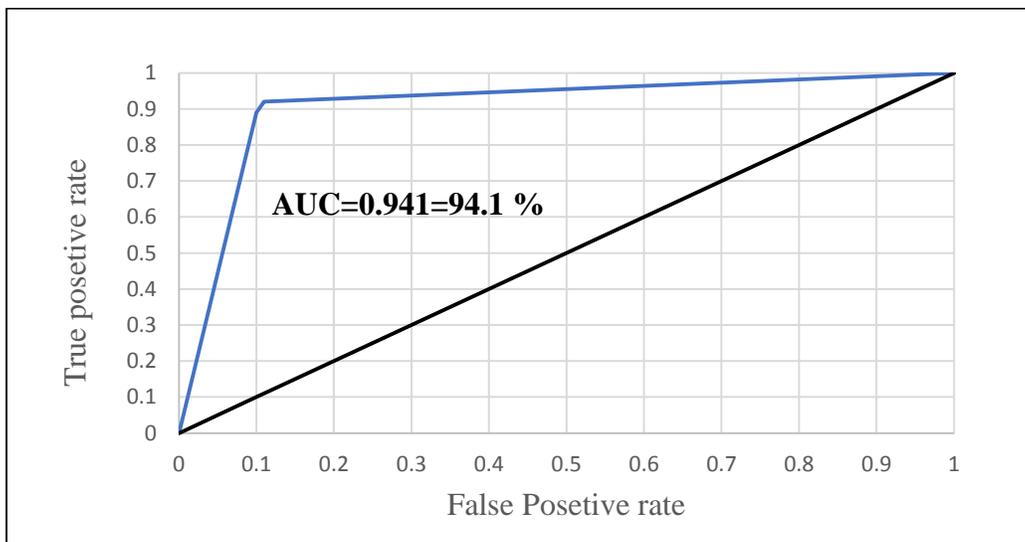


Figure 8 Receiver Operating curve (ROC)



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Table 1 pairwise comparison matrix

	G	Gm	RF	Slope	LD	LULC	DD	Soil	TWI	TRI
G	1	3	3	3	3	5	5	6	5	7
Gm	0.333	1	3	3	3	5	5	5	6	7
RF	0.333	0.333	1	1	3	3	5	5	5	7
Slope	0.333	0.333	1	1	1	2	3	3	5	5
LD	0.333	0.333	0.333	1	1	1	3	3	5	5
LULC	0.200	0.200	0.333	0.5	1	1	1	3	3	5
DD	0.200	0.200	0.200	0.333	0.333	1	1	1	3	3
Soil	0.167	0.200	0.200	0.333	0.333	0.333	1	1	3	3
TWI	0.200	0.167	0.200	0.200	0.200	0.333	0.333	0.333	1	1
TRI	0.143	0.143	0.143	0.200	0.200	0.200	0.333	0.333	1	1

Note: G: geology; Gm: Geomorphology; RF: rainfall; LD: Lineament Density; LULC: Land use/Land cover; DD: Drainage Density; TWI: topographic wetness index; and TRI: topographic roughness index.

Table 2 Thematic maps and their weightage with the assigned ranks for each subclass

No	Thematic layers	Weightage	Sub-classes	Ranks	Overall weight
1	Geology	26	Alluvial and lacustrine deposits(Q)	5	130
			Undifferentiated alluvial, lacustrine and beach sediments (Qh)	5	130
			Basalt flows, spatter cones and hyaloclastites (Qb)	4	104
			Jessoma Formation (Pj)	3	78
			Hamanlei Formation (Jh)	3	78
			Adigrat Formation (Ja)	2	52
			Ashangi Formation(P2a)	2	52
			Afar Series (Na)	4	104
			Amba Aradom Formation (Ka)	3	78
			Chilalo Formation (Nc)	2	52
Dalaha Formation (Ndb)	2	52			

			Alphe Group (ARI)	1	26
2	Geomorphology	21	Valley	5	105
			Open slope	4	84
			Plain area	4	84
			Hills	2	42
			High ridge and Mountains	1	21
3	Rainfall	14	426-521	1	14
			522-585	2	28
			586-631	3	42
			632-676	4	56
			677-798	5	70
4	Slope	10	Level to gentle slope	5	50
			Moderate sloping	4	40
			Strong slope	3	30
			Moderate steep	2	20
			Steep to very steep	1	10
5	Lineaments Density	9	0-0.146	1	9
			0.147-0.292	2	18
			0.293-0.438	3	27
			0.439-0.584	4	36
			0.585_0.73	5	45
6	LULC	7	Forest Land	5	35
			Agricultural land	5	35
			Shrub land	3	21
			Build up area	2	14
			Rock out crop	1	7
			Grass Land	4	28
			Bare land	1	7
7	Drainage density	5	0-0.18	5	25
			0.181-0.359	4	20
			0.36-0.539	3	15
			0.54-0.719	2	10
			0.72-0.898	1	5
8	Soil	4	Clay	1	4
			Loam	3	12
			Loamy sand	5	20
			Sandy loam	4	16
9		2	2.67-7.02	1	2

	Topographic wetness index		7.03-8.79	2	4
			8.8-11.3	3	6
			11.4-14.7	4	8
			14.8-25.3	5	10
10	Topographic roughness index	2	0.111-0.364	5	10
			0.365-0.471	4	8
			0.472-0.566	3	6
			0.567-0.675	2	4
			0.678-0.889	1	2